

Company Name:		Charter Ping An Insurance Corporation			
Financial Year End:		2017			
Sector		Insurance			
	OECD Principle	Yes/No	Reference/Source Document	Links	Self Assessment Test
Level 2	Bonus Items				
A	Rights of shareholders				
A.1.	Right to participate effectively in and vote in general shareholders meeting and should be informed of the rules.				
A.1.1 (B)	Does the company allow the use of secure electronic voting in absentia at the general meetings of shareholders.	Y	In some cases, voting via teleconferencing is sanctioned. Revised Corporate Governance Manual.	Hyperlinks\F. Bonus\A.1.1. (B) Revised Corporate Governance Manual.pdf	1
B	Equitable treatment of shareholders				
B.1.	Notice of AGM				
B.1.1 (B)	Does the company release its notice of AGM (with detailed agendas and explanatory circulars), as announced to the Exchange, at least 28 days before the date of the meeting?	Y	Notice of 2017 Annual Stockholders Meeting.	Hyperlinks\F. Bonus\B.1.1. (B) Notice ASM 2017.pdf	1
C.	Role of Stakeholders				
C.1.	The rights of stakeholders that are established by law or through mutual agreement.				
C.1.1. (B)	Does the company practice integrated report on its annual reports?	Y	Annual Report.	Hyperlinks\F. Bonus\C.1.1. (B) Annual Report.PDF	1
D.	Disclosure and transparency				
D.1.	Quality of Annual Report				
D.1. (B)	Are the audited annual financials; report/statement released within 60 days from the financial year end.	Y	Release of the Annual Financial Report is dependent on the schedule for submission provided by BIR and SEC. CPAIC is compliant with these requirements.	Hyperlinks\F. Bonus\D.1. (B) Audited Financial Statement Calendar Year ending 31 December 2016.pdf	1
D.1.2.(B)	Does the company disclose details of remuneration of the CEO?	N			0
E.	Responsibilities of the Board				
E.1.	Board Competencies and Diversity				
E.1.1.(B)	Does the company have at least one female independent director/commissioner?	N	ICGN 2.4.1 Skills and experience The board should consist of directors with the requisite range of skills, competence, knowledge, experience and approach, as well as a diversity of perspectives, to set the context for appropriate board behaviours and to enable it to discharge its duties and responsibilities effectively.		0
E.2.	Nominating Committee				
E.2.1(B)	Does the Nominating Committee comprise entirely of independent directors/commissioners?	N	ICGN 2.4.4 Composition of board committees The members of these key board committees should be solely non-executive directors, and in the case of the audit and remuneration committees, solely independent directors. All members of the nominations committee should be independent from management and at least a majority should be independent from dominant owners.		0
	Does the Nominating Committee undertake the process of identifying the quality of directors aligned with the company's strategic directions?	Y	CPAIC Revised Corporate Manual	Hyperlinks\F. Bonus\E.2.1. (B) Revised Corporate Governance Manual.pdf	1
E.3.	Board Appointments and Re-Election				

E.3.1.(B)	Does the company use professional search firms or other external sources of candidates (such as director databases set up by director or shareholder bodies) when searching for candidates to the board of directors/commissioners?	WORLD BANK PRINCIPLE 6 (VI.I.21) Are boards known to hire professional search firms when proposing candidates to the board?	Y	CPAIC Revised Corporate Manual	Hyperlinks\F. Bonus\E.3.1. (B) Revised Corporate Governance Manual.pdf	1
E.4.	Board Structure & Composition					
E.4.1. (B)	Do independent non-executive directors/commissioners make up more than 50% of the board of directors/commissioners?		N			0
E.5.	Board Performance					
E.5.1(B)	Does the company have a separate level Risk Committee?	International Financial Corporation's Global Corporate Governance Forum Publication: When Do Companies Need a Board-level Risk Management Committee?(Volume 31, pp.11, March 2013) Benefits of a Board Level Risk Committee: 1. elevate risk oversight to the highest level in the company; 2. strengthen the quality of risk management; 3. inculcate a risk culture and risk-management environment to mitigate and manage risks effectively across the organization; 4. establish a platform for continuous assessment of risks in light of the changing internal and external environments; 5. improve communication among the board, management, and other stakeholders about risk management; and 6. demonstrate to internal and external stakeholders the company's commitment to risk management	Y	CPAIC Revised Corporate Manual	Hyperlinks\F. Bonus\E.5.1. (B) Revised Corporate Governance Manual.pdf	1
					Score	7
					Total	11
					Default	0
					N/A	0
					No	4