

Company Name:		Charter Ping An Insurance Corporation				
Financial Year End:		2017				
Sector		Insurance				
		OECD Principle	Yes/No	Reference/Source Document	Links	Self-Assessment Score
C.	Role of Stakeholders					
C.1	The rights of stakeholders that are established by law or through mutual agreements are to be respected.					
	Does the company disclose a policy that:					
C.1.1.	Stipulates that existence and scope of the company's efforts to address customers' health and safety	OECD Principle IV (A): The rights of stakeholders that are established by law or through mutual agreements are to be respected. In all OECD countries, the rights of stakeholders are established by law (e.g. labour, business, commercial and insolvency laws) or by contractual relations. Even in areas where stakeholder interests are not legislated, many firms make additional commitments to stakeholders, and concern	Y	The company is committed to the interest and welfare of its customers, business partners and shareholders and ensure responsiveness to their specific need by providing appropriate and competitive products and delivering timely, reliable and superior services. Further, CPAIC supports the Rio Declaration on the Environment and Development, Universal Declaration on Human Rights and other treaties promoting health and safety. AXA Compliance & Ethics Guide, Page 15.	Hyperlinks\C.Role of Stakeholders\C.1.1. AXA Compliance & Ethics Guide for Employees.pdf	1
C.1.2.	Explains supplier/contractor selection practice?	over corporate reputation and corporate performance often requires the recognition of broader interests.Global Reporting Initiative: Sustainability Report (C1.1 - C.15) International Accounting Standards 1: Presentation of Financial Statements.	Y	Supplier/Contractor Selection Practice: Track records; transparent bidding procedures; stability of suppliers; Know your Client (KYC)/due diligence procedures/referral of CPAIC. CPAIC fully adopts the AXA Compliance & Ethics Guide, Procurement Ethics for competitive bidding, neutrality, confidentiality and transparency. AXA Compliance & Ethics Guide, Page 13.	Hyperlinks\C.Role of Stakeholders\C.1.2. AXA Compliance & Ethics Guide for Employees.pdf	1
C.1.3.	Describes the company's efforts to ensure that its value chain is environmentally friendly or is consistent with promoting sustainable development?		Y	CPAIC supports the Rio Declaration on the Environment and Development, Universal Declaration on Human Rights and other treaties promoting sustainable development. AXA Compliance & Ethics Guide, Page 15. http://charterpingan.com/mv.php	Hyperlinks\C.Role of Stakeholders\C.1.3. AXA Compliance & Ethics Guide for Employees.pdf	1
C.1.4.	Elaborates the company's efforts to interact with the communities in which they operate?		Y	CPAIC often engages in community service with the local communities and engage in a variety of charitable activities which does not involve a conflict of interest. The company's website "About Us" shows the company's profile, company's mission/vision/ core values	Hyperlinks\C.Role of Stakeholders\C.1.4. AXA Compliance & Ethics Guide for Employees.pdf http://charterpingan.com/mv.php	1
C.1.5.	Directs the company's anti-corruption programmes and procedures?		Y	CPAIC adopts the drive of The United Nations Convention Against Corruption and adopts as part of its policy that CPAIC's businesses should work against all forms of corruption including extortion and bribery. AXA Compliance & Ethics Guide,Page 15 CPIAC adopts a Whistle Blowing Policy. Annual Report, Page 14.	Hyperlinks\C.Role of Stakeholders\C.1.5. AXA Compliance & Ethics Guide for Employees.pdf Hyperlinks\C.Role of Stakeholders\C.1.5.Annual Report.pdf	1

C.1.6.	Describes how creditors' rights are safeguarded?		Y	CPAIC adopts the policy of antitrust, fair dealing, honestly and never through taking unfair advantage of others. AXA Compliance & Ethics Guide, Page 16.	Hyperlinks\C.Role of Stakeholders\C.1.6. AXA Compliance & Ethics Guide for Employees.pdf	1
				The liabilities, obligations and assets of CPAIC are fully disclosed in its Audited Financial Statement which is publicly accessible. Audited Financial Statement (2016).	Hyperlinks\C.Role of Stakeholders\C.1.6. Audited Financial Statement Calendar Year ending 31 December 2016.pdf	
Does the company disclose the activities that it has undertaken to implement the above mentioned policies?						
C.1.7.	Customer health and safety.	OECD Principle IV 9A) & Golbal Reporting Initiative.	Y	The Company adopts in its policies for customer health and safety such as Anti-Trust and Fair Dealing Policy with customers and Counter-Terrorist Financing Policy and Standard. AXA Compliance & Ethics Guide, Page 15.	Hyperlinks\C.Role of Stakeholders\C.1.7. AXA Compliance & Ethics Guide for Employees.pdf	1
				Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.1.8. Corporate Governance Report 2016.pdf	
C.1. 8.	Supplier/Contractor selection and criteria.		Y	This is detailed in the Company's Corporate Governance Report.	Hyperlinks\C.Role of Stakeholders\C.1.8. Corporate Governance Report 2016.pdf	1
C.1.9	Environmentally-friendly value chain.		Y	AXA Compliance & Ethics Guide, Page 15.	Hyperlinks\C.Role of Stakeholders\C.1.9. AXA Compliance & Ethics Guide for Employees.pdf	1
C.1.10	Interaction with the communities.		Y	AXA Compliance & Ethics Guide, Page 15.	Hyperlinks\C.Role of Stakeholders\C.1.10. AXA Compliance & Ethics Guide for Employees.pdf	1
				Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.1.10. Corporate Governance Report 2016.pdf	
C.1.11	Anti-corruption programmes and procedures		Y	AXA Compliance & Ethics Guide.	Hyperlinks\C.Role of Stakeholders\C.1.11. AXA Compliance & Ethics Guide for Employees.pdf	1
				Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.1.11. Corporate Governance Report 2016.pdf	
C.1.12	Creditor's rights		Y	AXA Compliance & Ethics Guide.	Hyperlinks\C.Role of Stakeholders\C.1.12. AXA Compliance & Ethics Guide for Employees.pdf	1
				Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.1.12. Corporate Governance Report 2016.pdf	

C.1.13.	Does the company have a separate corporate responsibility (CR) report/section or sustainability report/section?	OECD Principle V (A): Disclosure should include, but not be limited to, material information on: (7) Issues regarding employees and other stakeholders. Companies are encouraged to provide information on key issues relevant to employees and other stakeholders that may materially affect the long term sustainability of the company.	Y	Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.1.13. Corporate Governance Report 2016.pdf	1
C.2.	Where stakeholder interests are protected by law, stakeholders should have the opportunity to obtain effective redress for violation of their rights.					
C.2.1	Does the company provide contact details via the company's website or Annual Report which stakeholders (e.g.customers, suppliers, general public etc.) can use to voice their concerns and/or complaints for possible violation of their rights?	OECD Principle IV (B): Where stakeholder interests are protected by law, stakeholders should have the opportunity to obtain effective redress for violation of their rights. The governance framework and processes should be transparent and not impede the ability of stakeholders to communicate and to obtain redress for the violation of rights.	Y	Under Charter Ping An, 2016 Whistle Blower Policy the shareholder can access the Annual Report through the Company's website where they can locate the contact information for their complaints or customer care concerns.	Hyperlinks\C.Role of Stakeholders\C.2.1. Annual Report.PDF	1
C.3.	Performance-enhancing mechanisms for employee participation should be permitted to develop.					
C.3.1.	Does the company explicitly disclose the health, safety, and welfare policy for its employees?	OECD Principle IV (C): Performance-enhancing mechanisms for employee participation should be permitted to develop. In the context of corporate governance, performance enhancing mechanisms for participation may benefit companies directly as well as indirectly through the readiness by employees to invest in firm specific skills.	Y	AXA Compliance & Ethics Guide. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.3.1. AXA Compliance & Ethics Guide for Employees.pdf Hyperlinks\C.Role of Stakeholders\C.3.1. Corporate Governance Report 2016.pdf	1
C.3.2.	Does the company publish data relating to health, safety and welfare of its employees?	Firm specific skills are those skills/competencies that are related to production technology and/or organizational aspects that are unique to a firm. Examples of mechanisms for employee participation include: employee representation on boards; and governance processes such as works councils that consider employee viewpoints in certain key decisions. With respect to performance enhancing mechanisms, employee stock ownership plans or other profit sharing mechanisms are to be found in many countries.	Y	AXA Compliance & Ethics Guide. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.3.2. AXA Compliance & Ethics Guide for Employees.pdf Hyperlinks\C.Role of Stakeholders\C.3.2. Corporate Governance Report 2016.pdf	1
C.3.3.	Does the company have training and development programmes for its employees ?		Y	2016 Training Manual & Updates. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.3.3.Training.pdf Hyperlinks\C.Role of Stakeholders\C.3.3. Corporate Governance Report 2016.pdf	1
C.3.4.	Does the company publish relevant information on training and development programmes for its employees?		Y	2016 Training Manual & Updates through employee portal. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.3.4.Training.pdf Hyperlinks\C.Role of Stakeholders\C.3.4. Corporate Governance Report 2016.pdf	1

C.3.5.	Does the company have a reward/compensation policy that accounts for the performance of the company beyond short-term financial measures?		Y	Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.3.5. Corporate Governance Report 2016.pdf	1	
C.4.	Stakeholders including individual employee and their representative bodies, should be able to freely communicate their concerns about illegal or unethical practices to the board and their rights should not be compromised for doing this.						
C.4.1.	Does the company have procedures for complaints by employees concerning illegal (including corruption) and unethical behaviour?	OECD Principle IV (E): Stakeholders, including individual employees and their representative bodies, should be able to freely communicate their concerns about illegal or unethical practices to the board and their rights should not be	Y	Under Charter Ping An, 2016 Whistle Blower Policy the shareholder can access the Annual Report through the Company's website where they can locate the contact information for their complaints or customer care concerns. Annual Report. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.4.1. Annual Report.PDF Hyperlinks\C.Role of Stakeholders\C.4.1. Corporate Governance Report 2016.pdf	1	
C.4.2.	Does the company have a policy or procedures to protect an employee/person who reveals illegal/unethical behavior from retaliation?	not be compromised for doing this.	Y	Under Charter Ping An, 2016 Whistle Blower Policy the shareholder can access the Annual Report through the Company's website where they can locate the contact information for their complaints or customer care concerns. Annual Report. Corporate Governance Report	Hyperlinks\C.Role of Stakeholders\C.4.2. Annual Report.PDF Hyperlinks\C.Role of Stakeholders\C.4.2. Corporate Governance Report 2016.pdf	1	
						Score	21
						Total	21
						N/A	0
						Default	0
						N/A	0
						No	0